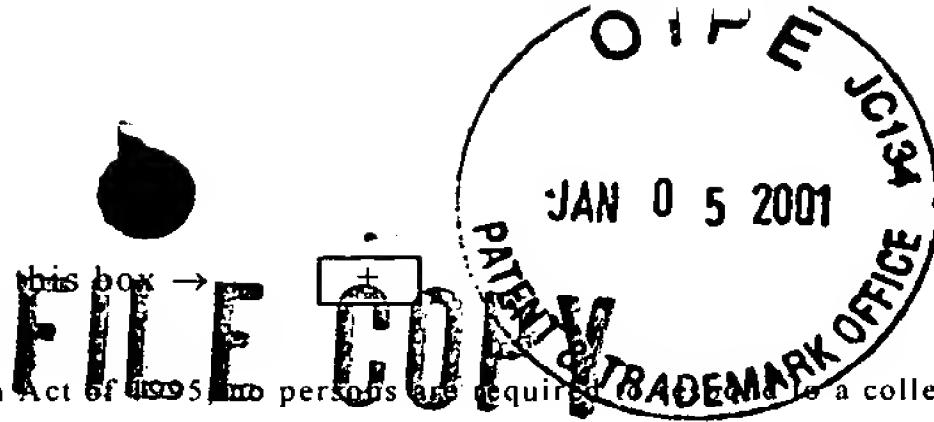


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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/687,892
Filing Date	October 13, 2000
First Named Inventor	Phillip Koh-Kwe Hsu
Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket Number	4034-61

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Petitions to the Commissioner
- Request For Corrected Filing Receipt With A Copy Of the Official Receipt
- Amendment/Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
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- Information Disclosure Statement Form PTO-1449 References
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
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- Assignment Papers (for an Application)
- Drawing(s) - ___ Sheets
- Licensing-related Papers
- Petition
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition
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Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893	
Signature		
Date	January 3, 2001	

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillip Koh-Kwe Hsu, et al. Docket No.: 4034-61
Serial No. 09/687,892 Group No.: 2152
Filed: October 13, 2000 Examiner: Not yet assigned
For: SYSTEM AND METHOD FOR DELIVERING A FINANCIAL MESSAGE

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

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1.	Technology Center 2100
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3.	System And Method For Delivering A Financial Message
4.	
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Reg. No.: 38, 893

Leslie Gladstone Restaino

SIGNATURE OF PRACTITIONER

Leslie Gladstone Restaino

(type or print name of practitioner)

Tel. No.: (973) 538-0800

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/687,892	10/13/2000	2152	728	4034-61	40	21	3

FILING RECEIPT

Riker Danzig Scherer Hyland & Perretti LLP
Headquarters Plaza
One Speedwell Avenue
Morristown, NJ 07962



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Date Mailed: 12/27/2000

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Applicant(s)

Phillip Koh-Kwe Hsu, River Edge, NJ ;
Susan M. Carlos, Scotch Plains, NJ ;

Continuing Data as Claimed by Applicant

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Foreign Applications

APR 23 2001

If Required, Foreign Filing License Granted 12/27/2000

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Title

System and method for delivering financial message

Preliminary Class

709

Data entry by : GARNETT, SANDRA

Team : OIPE

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CONFIRMATION NO. 8983

Bib Data Sheet

SERIAL NUMBER 09/687,892	FILING DATE 10/13/2000 RULE	CLASS 709	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. 4034-61
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APPLICANTS

Phillip Koh-Kwe Hsu, River Edge, NJ;
 Susan M. Carlos, Scotch Plains, NJ;

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Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	NJ	40	21	3
Verified and Acknowledged	Examiner's Signature	Initials			

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TITLE

System and method for delivering a financial message

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